



Privacy Policy

| Privacy Notice | |
|----------------|---|
| Facts | What does Kayne Anderson Rudnick Investment Management, LLC do with your personal information? |
| Why? | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do. |
| What? | <p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security Number and investment experience • Account balances and assets • Risk tolerance and transaction history <p>When you are no longer our customer, we continue to share your information as described in this notice.</p> |
| How? | All financial companies need to share customer’s personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer’s personal information; the reasons Kayne Anderson Rudnick Investment Management, LLC (KAR) chooses to share; and whether you can limit this sharing. |

| Reasons We Can Share Your Personal Information | Does KAR Share? | Can you Limit This Sharing? |
|---|-----------------|-----------------------------|
| For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| For our marketing purposes - to offer our products and services to you | No | We do not share |
| For joint marketing with other financial companies | No | We do not share |
| For our affiliates’ everyday business purposes - information about your transactions and experiences | Yes | No |
| For our affiliates’ everyday business purposes - information about your creditworthiness | No | We do not share |
| For nonaffiliates to market to you | No | We do not share |

Questions? Call 310.712.2909 or visit kayne.com

Privacy Policy

Who We Are

Who is providing this notice? Kayne Anderson Rudnick Investment Management, LLC (KAR)

What We Do

How does KAR protect my personal information? To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does KAR collect my personal information? We collect your personal information, for example, when you:

- Open an account
- Seek advice about your investments
- Enter into an investment advisory contract
- Tell us about your investment or retirement portfolio
- Give us your contact information

Why can't I limit all sharing? Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes- information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

Definitions

Affiliates Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Our affiliates include financial companies such as Virtus Investment Partners, Duff & Phelps Investment Management Co., Virtus Investment Advisers, Inc., Euclid Advisors LLC, Newfleet Asset Management, Inc., VP Distributors, LLC, Zweig Advisers LLC, Rampart Investment Management Company, LLC, Virtus Alternative Investment Advisers, Inc., Virtus ETF Advisers, LLC, ETF Distributors LLC, and Virtus Retirement Investment Advisers, LLC.

Nonaffiliates KAR does not share with nonaffiliates so they can market to you.

Joint Marketing KAR does not jointly market.

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